

**Sequence comparison**

**theory**

A sequence by itself does not provide any information

information can be retrieved by

- Sequence analysis
- Similarity searching by means of comparison between sequences

# 4 GOALS FOR SIMILARITY SEARCHING

1. Localization of a new sequence through the similarity with a previously localized sequence.
2. Hypothesis on function of a new sequence, if it is identical or similar to a known sequence
3. Classification of a protein in a specific family

## 4. Assessment of evolutionary relations between sequences

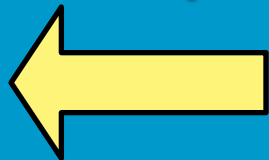
- Identification of conserved sequences

sequences where any change in a specific position (amino acid or DNA) does not change the molecule chemico-physical traits

You can always evaluate similarity of sequences but sometimes you cannot establish the mechanisms which caused similarity.

Biological similarity may occur for

- Random events
- Convergent adaptation
- Homology



Example: wings of birds and bats are not homologous since are derived from independent evolutionary steps

# Sequence comparison

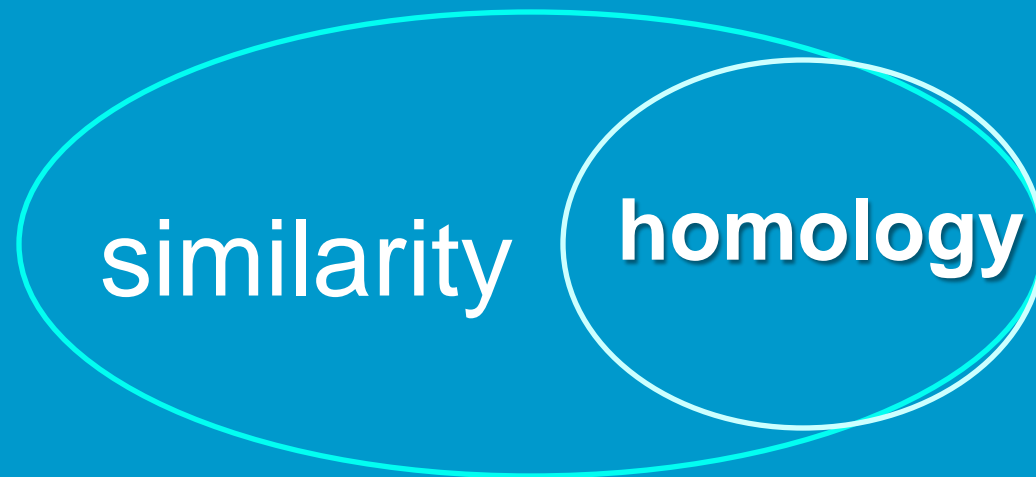
similarity

≠

homology

Apart from the causes

Common ancestor



# Through the sequence comparison you can explore the relations between sequences

- Similarity is a quantitative value
  - concerns identity between sequences
- Homology is a qualitative trait
  - refers to an evolutionary relation between sequences

## Some words... concerning relation between sequences

Similar: sequences which are characterized by an identity level

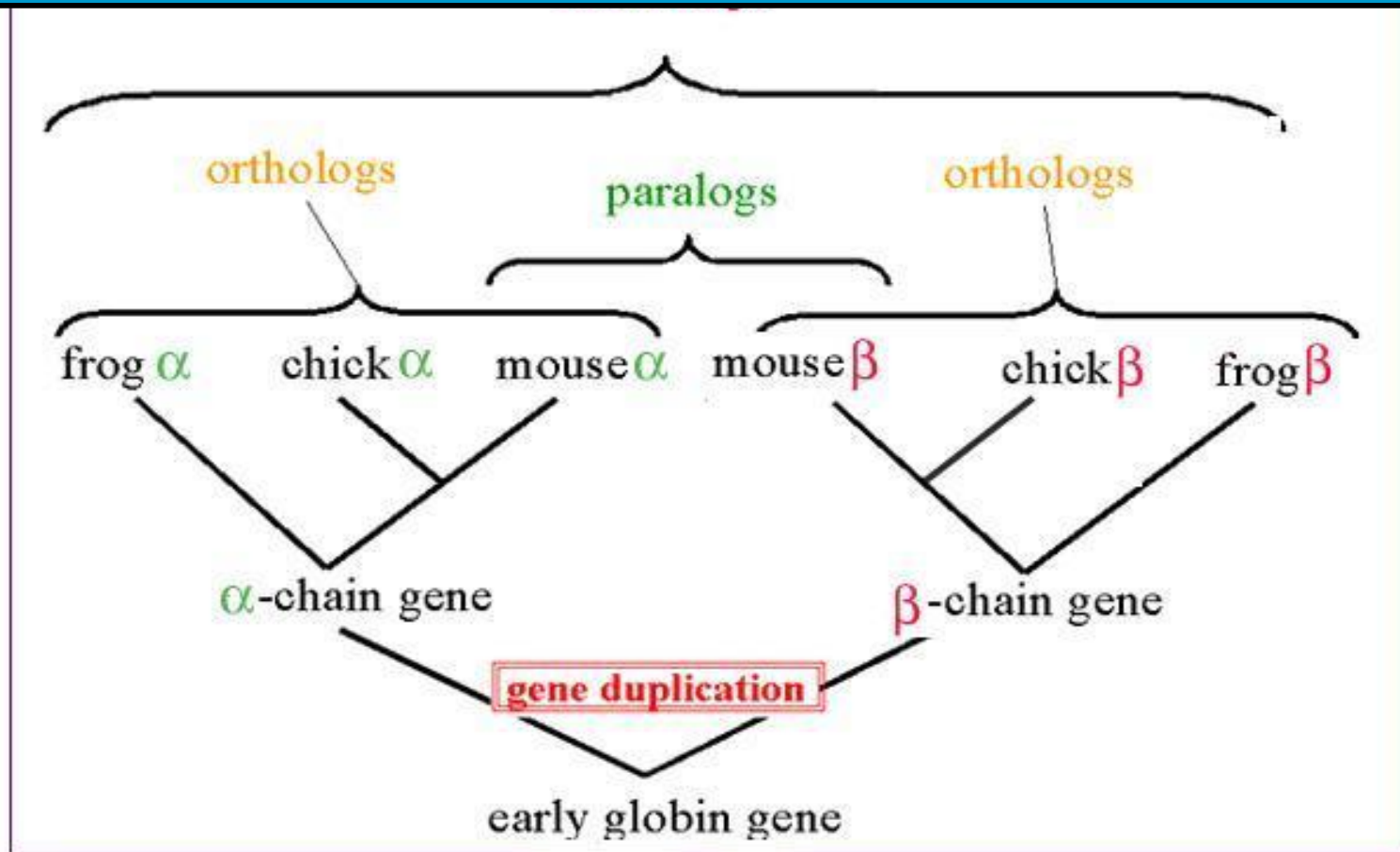
Homologous: sequences which derive from the same evolutionary pathway

Hortologous: homologous sequences which derive from a common ancestor but evolved independently. Function is or is not maintained.

Paralogous: homologous sequences which evolved through gene duplication in the same species



# Homologous sequences



**Homologous sequences.** Orthologs and Paralogs are two types of homologous sequences. Orthology describes genes in different species that derive from a common ancestor. Orthologous genes may or may not have the same function. Paralogy describes homologous genes within a single species that diverged by gene duplication.

A high similarity likely indicates homology

but

homology only sometimes corresponds

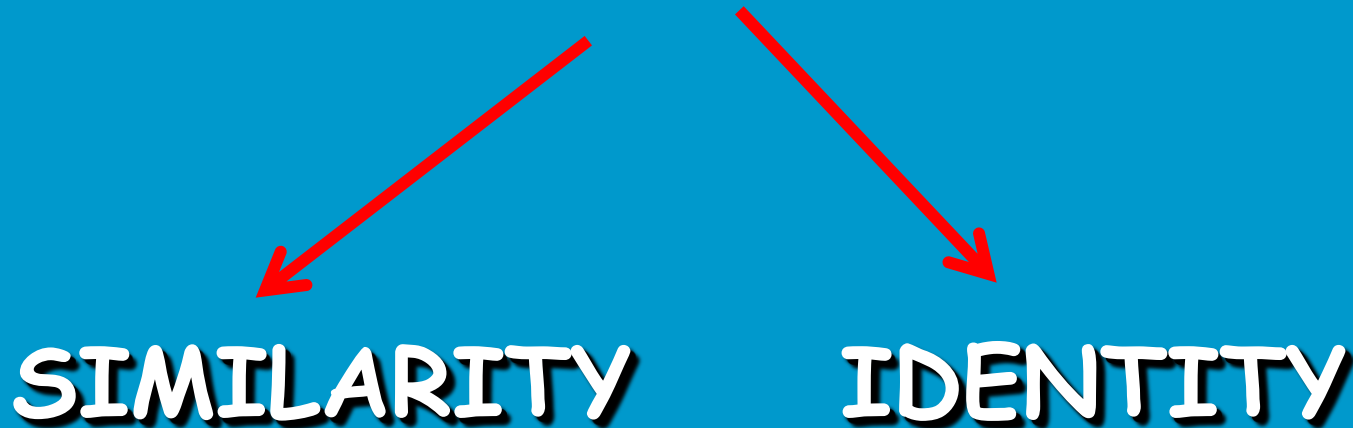
to high similarity

# Homology, Similarity, and Identity

- Identity is a *measure* made on an alignment
  - Sequence A can be “32 % identical to” Sequence B
- Similarity is a measure of how close are
  - Two sequences
  - Two amino acids (isoleucine and leucine)
- Homology is a *property* that exists or does not exist
  - Sequence A **IS** or **IS NOT** homologous to Sequence B
  - Sequence A cannot be “40% homologous to” B

Homology is established on the basis of measured similarity or identity

# ALIGNMENT BETWEEN SEQUENCES



**SIMILARITY SEARCHING IS BASED ON  
ALIGNMENT BETWEEN SEQUENCES.**

## ■ Sequence identity

- Percent of matches (nucleotides, amino acids)

## ■ Sequence similarity

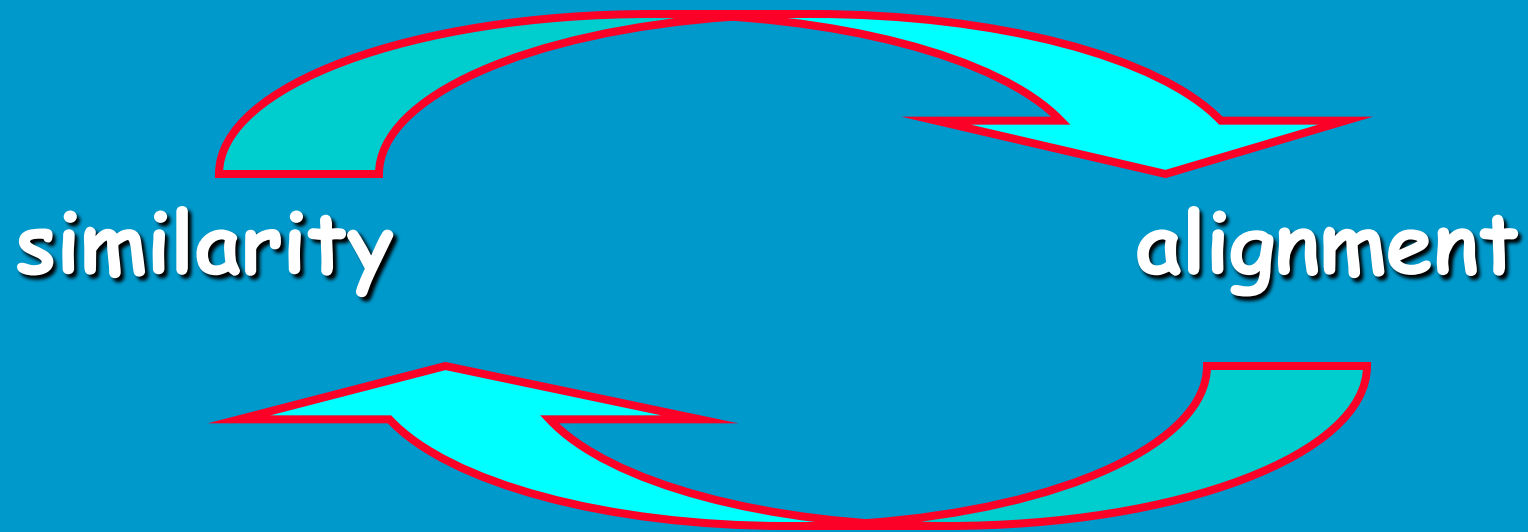
- measure of how close are two sequences

to evaluate identity or similarity between sequences comparison can be performed

- Between two sequences (pairwise alignment)
  - With a subject sequence
  - In public database
- Between more sequences (multiple alignment)
  - Multiple comparison
  - In public database

Pairwise comparison between  
two sequences

# Similarity between two sequences



- 1 - definition of similarity criteria
- 2 - sequence alignment
- 3- similarity evaluation



Alignment of the two strings of  
characters.

All the possible alignments are  
tested

# Similarity between two sequences

1- alignment of the string of characters  
All the possible alignments are tested

For example: Align sequence 2 on sequence 1

Query sequence → AAKQW      Sequence 1

Subject sequence → AAKKQW      Sequence 2

## Similarity between two sequences

AAKKQW

6 characters

AAKQW

5 characters

We tested 10 (5+5) possible alignments  
and compared 30 (6x5) characters

# Similarity between two sequences

## 1- Line up the sequences against each other

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

AAKKQW  
AAKQW

## Similarity between two sequences

1- alignment of the two strings of characters. All the possible alignments are tested

- without gaps

2- evaluation of similarity by the sum of the characters which align perfectly.

# Similarity between two sequences

2- score all the possible alignments through the sum of the characters which align perfectly (matches).

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 3

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 1

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 0

AAKKQW  
AAKQW 4

AAKKQW  
AAKQW 0

# Simple pairwise alignment

Two sequences are written one on the top of the other.

**CGCTTCGGACGAAATCGCATCAGCATACGATCGCATGCCGGGCGGGATAAC**

**CGAAATCGCATCAGCATACGATCGCATGC**

the query sequence is moved on the subject sequence.

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

||        |    |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

      |    | |    |    | |    |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

|            |            |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

   ||    |        ||||    |    |    |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

   |        |||        |    ||    ||

CGAAATCGCATCAGCATAACGATCGCATGC



CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

|| | | | |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

|| || || |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

| | | |

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

||

CGAAATCGCATCAGCATAACGATCGCATGC

CGCTTCGGACGAAATCGCATCAGCATAACGATCGCATGCCGGGGCGGGATAAC

||||||||||||||||||||||||||||

CGAAATCGCATCAGCATAACGATCGCATGC

The highest score corresponds  
to the best alignment

**Global or local alignment ?**

## Global (full-length) alignment:

```
LTGARDWEDIPLWTDWDIEQESDFKTRAFGTANCHK
  ||  | | | | | | | | | | | |
TGIPLWTDWDLEQESDNSCNTDHYTREWGTMNAHK
```

## local (sub-sequences) alignment :

```
TGARDWEDIPLWTDWDIEQESDFKTRAFGTANCHK
      ||||| | |||
TGIPLWTDWDLEQESDNSCNTDHYTREWGTMNAHK
```

# Sub-sequences

When a sequence A is identical to any portion of a sequence B, sequence A is said to be a sub-sequence of B.



# GLOBAL OR LOCAL ALIGNMENT?

id.

global alignment :

```
LTGARDWEDIPLWTDWDIEQESDFKTRAFGTANCHK 13
||  |  |  |  |  |  |  |  |  |  |  |  |
TGIPLWTDWDLEQESDNSCNTDHYTREWGTMNAHKAG
```

local alignment :

```
TGARDWEDIPLWTDWDIEQESDFKTRAFGTANCHK 13
      |||||  ||||
      TGIPLWTDWDLEQESDNSCNTDHYTREWGTMNAHK
```

Which is the best ?

# GLOBAL OR LOCAL ALIGNMENT?

1) Select the best alignment by a biological point of view

2) At computational level the best alignment measure should reflect the best biological alignment

Local alignment often better reflect a common biological function

# GLOBAL OR LOCAL ALIGNMENT?

- In theory, global alignment evaluates similarity of the overall sequence. It is best for describing relations between sequences.
- In practice, local alignment is of more general use.
  - In proteins only parts are homologous (share conserved domains)

**Gaps**



# Simple alignment often does not work



```
CGCTTCGGACGAAATCGCATCA-GCATACGATCGCATGCCGGGCGGGATAA
|||||
CGAAATCGCATCACGCATACGATCGCATGC
```

It can be necessary to include gaps (nucleotide insertion/deletion) and evaluate alignment with "gaps"

# Gap

A SPACE INTRODUCED INTO AN ALIGNMENT TO COMPENSATE FOR INSERTIONS AND DELETIONS IN ONE SEQUENCE RELATIVE TO ANOTHER.

# Similarity between two sequences with gaps

Insertion and/or deletion (INDEL) of characters (*gaps*)

IPLMTRWDQEQESDFGHKLPITYREWCTRG

|||||

10

CHKIPLMTRWDQEQESDFGHKLPVIYTREW



IPLMTRWDQEQESDFGHKLP-IYTREWCTRG

||||| ||||| |||||

25

CHKIPLMTRWDQ-QESDFGHKLPVIYTREW

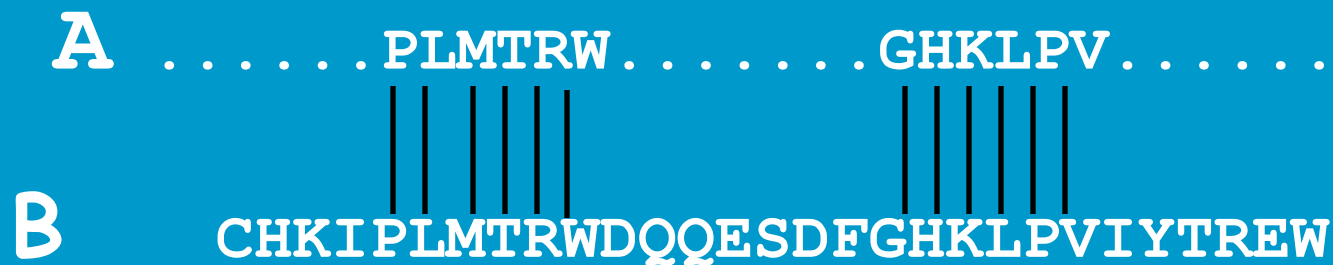


# Simple alignment does not work between A and B

A . . . . . PLMTRWGHKLPV . . . . .

B . . . . . CHKIPLMTRWDQQESDFGHKLPVIYTREW . . . . .

**A is identical to different parts of B**



**More than one block of gaps  
must be inserted**

# GAP PENALTY

Is based on two notions:

- Deletion or insertion (gap) is much less likely to occur than the most radical amino acid substitution. It should be heavily penalized.
- Once a deletion or insertion (gap) has occurred in a given position deletion or insertion of additional residues (gap extension) becomes much more likely.

# GAP PENALTY

In the scoring of an alignment introduction of a gap and extension of the gap causes the deduction of a fixed amount (the gap score,  $G$ ).

$$G = a + bx, \quad a \gg b$$

$a$  is the gap opening penalty,  $b$  is the gap extension penalty,  $x$  is the extension of the gap after the opening.

The choice of gap costs is empirical, but it is customary to choose a high value for gap existence (10-15) and a low value for gap extension (1-2).

# Similarity between two sequences with gaps

## Similarity evaluation - with gap penalty

IPLMTRWDQEQESDFGHKLP-IYTREWCTRG

||||| ||||||| ||||| Score = 25 - 2

CHKIPLMTRWDQ-QESDFGHKLPVIYTREW

gap creation penalty (es.: -1 for each *gap*)

IPLMTRWDQEQESDFGHKLP----IYTREWCTRG

||||| ||||||| |||||

CHKIPLMTRWDQ-QESDFGHKLPVGSSSIYTREW

gap extension penalty Score = 25 - 1 - (1 + (0.1 \* 3))  
(es.: -0.1 for each ins/del following the first)



**QUANTITATIVE EVALUATION  
OF SIMILARITY (SCORE)  
OF AN ALIGNMENT**

# Comprehensive alignment score

Must account for the identity of all the characters in both sequences and the gap penalties.

The procedure for the score evaluation should maximise the number of identical matches between sequences by inserting gaps

## RAW SCORE

THE SCORE OF AN ALIGNMENT, S,  
IS CALCULATED AS THE SUM OF

- 1- SUBSTITUTION SCORES
- 2- GAP SCORES.